COMPONENT: <u>United States Marshals Service (USMS)</u>

| EEO COMPLAINT DATA | 1 st Quarter FY 2020 | 2 nd Quarter FY2020 | 3 rd Quarter FY 2020 | 4 th Quarter FY 2020 | Year to Date Total |
|--|------------------------------------|-----------------------------------|------------------------------------|------------------------------------|--------------------------|
| I. Number of Complaints | | | | | |
| A. Pending at the beginning of the quarter: | 83 | 88 | 74 | 63 | 63 |
| B. Filed during the quarter: | 14 | 7 | 6 | 8 | 35 |
| C. Pending, at any time, during the quarter: | 97 | 95 | 80 | 71 | 71 |

| II. Number of Filers | 1 st Quarter FY 2020 | 2 nd Quarter FY 2020 | 3 rd Quarter FY 2020 | 4 th Quarter FY 2020 | Year to Date Total |
|---|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--------------------------|
| A. Who had a complaint pending at the start of the quarter: | 75 | 74 | 60 | 52 | 52 |
| B. Who filed a complaint during this quarter: | 14 | 7 | 6 | 8 | 35 |
| C. Who had a complaint pending at any time during this quarter: | 89 | 81 | 66 | 60 | 60 |
| D. Who filed two or more complaints during this quarter: | 0 | 0 | 0 | 0 | 0 |

| III. Breakdown of all Complaints filed during this quarter by basis and issue | 1 st Quarter FY 2020 | 2 nd Quarter FY 2020 | 3 rd Quarter FY 2020 | 4 th Quarter FY 2020 | Year to Date Total |
|--|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--------------------------|
| A. Complaints filed during the quarter broken down by basis | | | | | |
| 1. Race: | 1 | 4 | 2 | 3 | 10 |
| a. American Indian/Alaskan Native: | 0 | 0 | 0 | 0 | 0 |
| b. American Asian/Pacific Islander: | 0 | 0 | 0 | 0 | 0 |
| c. Black: | 1 | 3 | 2 | 2 | 8 |
| d. White: | 0 | 1 | 0 | 1 | 2 |
| 2. Color: | 1 | 1 | 0 | 2 | 4 |
| 3. Sex: | 0 | 2 | 0 | 3 | 5 |
| a. Male: | 0 | 0 | 0 | 0 | 0 |

| III. Breakdown of all Complaints filed during this quarter by basis and issue | 1 st Quarter FY 2020 | 2 nd Quarter FY 2020 | 3 rd Quarter FY 2020 | 4 th Quarter FY 2020 | Year to Date Total |
|---|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--------------------------|
| b. Female: | 0 | 2 | 0 | 3 | 5 |
| 4. Age: | 7 | 1 | 2 | 0 | 10 |
| 5. Religion: | 0 | 0 | 0 | 0 | 0 |
| 6. Reprisal: | 6 | 5 | 1 | 4 | 16 |
| 7. Pregnancy (PDA): | 0 | 0 | 0 | 0 | 0 |
| 8. National Origin: | 2 | 2 | 1 | 1 | 6 |
| a. Hispanic: | 1 | 0 | 1 | 1 | 3 |
| b. Other: | 1 | 2 | 0 | 0 | 3 |
| 9. Equal Pay Act: | 0 | 0 | 0 | 0 | 0 |
| a. Male: | 0 | 0 | 0 | 0 | 0 |
| b. Female: | 0 | 0 | 0 | 0 | 0 |
| 10. Disability: | 0 | 1 | 1 | 3 | 5 |
| 11. Genetics: | 0 | 0 | 0 | 0 | 0 |
| 12. Non-EEO Basis: | 0 | 1 | 0 | 0 | 1 |
| B. Complaints filed during the quarter broken down by issue | | | | | |
| 1. Appointment/Hire: | 0 | 0 | 1 | 0 | 1 |
| 2. Assignment of Duties: | 5 | 0 | 0 | 0 | 5 |
| 3. Awards: | 0 | 0 | 0 | 0 | 0 |
| 4. Conversion to Full Time/Perm Status: | 0 | 0 | 0 | 0 | 0 |
| 5. Disciplinary Action: | 1 | 1 | 0 | 1 | 3 |
| a. Demotion: | 0 | 0 | 0 | 0 | 0 |
| b. Reprimand: | 0 | 0 | 0 | 0 | 0 |
| c. Suspension: | 1 | 1 | 0 | 0 | 2 |
| d. Removal: | 0 | 0 | 0 | 1 | 1 |
| e. Other: | 0 | 0 | 0 | 0 | 0 |

| III. Breakdown of all Complaints filed during this quarter by basis and issue | 1 st Quarter FY 2020 | 2 nd Quarter FY 2020 | 3 rd Quarter FY 2020 | 4 th Quarter FY 2020 | Year to Date Total |
|---|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--------------------------|
| 6. Duty Hours: | 0 | 0 | 0 | 0 | 0 |
| 7. Perf. Eval./ Appraisal: | 0 | 0 | 0 | 1 | 1 |
| 8. Examination/Test: | 0 | 0 | 0 | 0 | 0 |
| 9. Harassment: | 2 | 3 | 6 | 4 | 15 |
| a. Non-sexual: | 2 | 3 | 6 | 4 | 15 |
| b: Sexual: | 0 | 0 | 0 | 0 | 0 |
| 10. Medical Examination: | 0 | 0 | 0 | 0 | 0 |
| 11. Pay Including Overtime: | 5 | 0 | 0 | 0 | 5 |
| 12. Promotion/Non-Selection: | 5 | 1 | 0 | 1 | 7 |
| 13. Reassignment: | 0 | 0 | 0 | 2 | 2 |
| a. Denied: | 0 | 0 | 0 | 0 | 0 |
| b. Directed: | 0 | 0 | 0 | 2 | 2 |
| 14. Reasonable Accommodation Disability: | 0 | 0 | 0 | 1 | 1 |
| 15. Reinstatement: | 0 | 0 | 0 | 0 | 0 |
| 16. Religious Accommodation: | 0 | 0 | 0 | 0 | 0 |
| 17. Retirement: | 1 | 1 | 0 | 0 | 2 |
| 18. Sex-Stereotyping: | 0 | 0 | 0 | 0 | 0 |
| 19. Telework: | 0 | 0 | 0 | 0 | 0 |
| 20. Termination: | 0 | 1 | 0 | 1 | 2 |
| 21: Terms/Conditions of Employment: | 5 | 0 | 0 | 0 | 5 |
| 22. Time and Attendance: | 0 | 0 | 0 | 0 | 0 |
| 23. Training: | 5 | 0 | 0 | 0 | 5 |
| 24. Other: | 0 | 0 | 0 | 0 | 0 |

| IV. Time measurements – All complaints pending at any time during the quarter | 1 st Quarter FY 2020 | 2 nd Quarter FY 2020 | 3 rd Quarter FY 2020 | 4 th Quarter FY 2020 | Year to Date Total |
|---|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--------------------------|
| A. Average number of days for Investigation: | 166 | 123 | 94 | 75 | 115 |
| B. Average number of days for Final Agency Decision: | 0 | 196 | 311 | 135 | 214 |
| C. Average number of days for Final Agency Action | 40 | 40 | 36 | 37 | 38 |
| D. Number of complaints dismissed pursuant to 29 CFR § 1614.107(a) | 0 | 1 | 0 | 2 | 3 |
| E. Average number of days complaints were pending at the time of dismissal. | 0 | 155 | 0 | 172 | 164 |

| V. Final agency actions involving discrimination during the quarter broken down by hearing/no hearing and basis/issue. | 1st Quarter FY 2020 #/% | 2 nd Quarter FY 2020 #/% | 3 rd Quarter FY 2020 #/% | 4 th Quarter FY 2020 #/% | Year to Date Total |
|--|-------------------------------|---|---|---|--------------------------|
| A. Total number of final agency actions (decisions) involving discrimination during the quarter. | 2 | 1 | 0 | 0 | 3 |
| B. Decisions finding discrimination without a hearing: | 0 | 1 | 0 | 0 | 1 |
| C. Decisions finding discrimination without a hearing broken down by basis | | | | | |
| 1. Race: | 0 | 0 | 0 | 0 | 0 |
| a. American Indian/Alaskan Native: | 0 | 0 | 0 | 0 | 0 |
| b. American Asian/Pacific Islander: | 0 | 0 | 0 | 0 | 0 |
| c. Black: | 0 | 0 | 0 | 0 | 0 |
| d. White: | 0 | 0 | 0 | 0 | 0 |
| 2. Color: | 0 | 0 | 0 | 0 | 0 |
| 3. Sex: | 0 | 0 | 0 | 0 | 0 |
| a. Male: | 0 | 0 | 0 | 0 | 0 |
| b. Female: | 0 | 0 | 0 | 0 | 0 |

| V. Final agency actions involving discrimination during the quarter broken down by hearing/no hearing and basis/issue. | 1st Quarter FY 2020 #/% | 2 nd Quarter FY 2020 #/% | 3 rd Quarter FY 2020 #/% | 4 th Quarter FY 2020 #/% | Year to Date Total |
|--|-------------------------------|---|---|---|--------------------------|
| 4. Pregnancy (PDA): | 0 | 0 | 0 | 0 | 0 |
| 5. Age: | 0 | 0 | 0 | 0 | 0 |
| 6. Religion: | 0 | 0 | 0 | 0 | 0 |
| 7. Reprisal: | 0 | 0 | 0 | 0 | 0 |
| 8. National Origin: | 0 | 0 | 0 | 0 | 0 |
| a. Hispanic: | 0 | 0 | 0 | 0 | 0 |
| b. Other: | 0 | 0 | 0 | 0 | 0 |
| 9. Equal Pay Act: | 0 | 0 | 0 | 0 | 0 |
| a. Male: | 0 | 0 | 0 | 0 | 0 |
| b. Female: | 0 | 0 | 0 | 0 | 0 |
| 10. Disability: | 0 | 1 | 0 | 0 | 1 |
| 11. Genetics: | 0 | 0 | 0 | 0 | 0 |
| 12. Non-EEO Basis: | 0 | 0 | 0 | 0 | 0 |
| D. Decisions finding discrimination without a hearing broken down by issue | | | | | |
| 1. Appointment/Hire: | 0 | 0 | 0 | 0 | 0 |
| 2. Assignment of Duties: | 0 | 0 | 0 | 0 | 0 |
| 3. Awards: | 0 | 0 | 0 | 0 | 0 |
| 4. Conversion to Full Time/Perm Status: | 0 | 0 | 0 | 0 | 0 |
| 5. Disciplinary Action: | 0 | 0 | 0 | 0 | 0 |
| a. Demotion: | 0 | 0 | 0 | 0 | 0 |
| b. Reprimand: | 0 | 0 | 0 | 0 | 0 |
| c. Suspension: | 0 | 0 | 0 | 0 | 0 |
| d. Removal: | 0 | 0 | 0 | 0 | 0 |
| e. Other: | 0 | 0 | 0 | 0 | 0 |

| V. Final agency actions involving discrimination during the quarter broken down by hearing/no hearing and basis/issue. | 1st Quarter FY 2020 #/% | 2 nd Quarter FY 2020 #/% | 3 rd Quarter FY 2020 #/% | 4 th Quarter FY 2020 #/% | Year to Date Total |
|--|-------------------------------|---|---|---|--------------------------|
| 6. Duty Hours: | 0 | 0 | 0 | 0 | 0 |
| 7. Perf. Eval./ Appraisal: | 0 | 0 | 0 | 0 | 0 |
| 8. Examination/Test: | 0 | 0 | 0 | 0 | 0 |
| 9. Harassment: | 0 | 1 | 0 | 0 | 1 |
| a. Non-sexual: | 0 | 1 | 0 | 0 | 1 |
| b. Sexual: | 0 | 0 | 0 | 0 | 0 |
| 10. Medical Examination: | 0 | 0 | 0 | 0 | 0 |
| 11. Pay Including Overtime: | 0 | 0 | 0 | 0 | 0 |
| 12. Promotion/Non-Selection: | 0 | 0 | 0 | 0 | 0 |
| 13. Reassignment: | 0 | 0 | 0 | 0 | 0 |
| a. Denied: | 0 | 0 | 0 | 0 | 0 |
| b. Directed: | 0 | 0 | 0 | 0 | 0 |
| 14. Reasonable Accommodation Disability: | 0 | 0 | 0 | 0 | 0 |
| 15. Reinstatement: | 0 | 0 | 0 | 0 | 0 |
| 16. Religious Accommodation: | 0 | 0 | 0 | 0 | 0 |
| 17. Retirement: | 0 | 0 | 0 | 0 | 0 |
| 18. Sex-Stereotyping: | 0 | 0 | 0 | 0 | 0 |
| 19. Telework: | 0 | 0 | 0 | 0 | 0 |
| 20. Termination: | 0 | 0 | 0 | 0 | 0 |
| 21. Terms/Conditions of Employment: | 0 | 0 | 0 | 0 | 0 |
| 22. Time and Attendance: | 0 | 0 | 0 | 0 | 0 |
| 23. Training: | 0 | 0 | 0 | 0 | 0 |
| 24. Other: | 0 | 0 | 0 | 0 | 0 |
| E. Decisions involving discrimination after a hearing: | 2 | 0 | 0 | 0 | 2 |

| V. Final agency actions involving discrimination during the quarter broken down by hearing/no hearing and basis/issue. | 1st Quarter FY 2020 #/% | 2 nd Quarter FY 2020 #/% | 3 rd Quarter FY 2020 #/% | 4 th Quarter FY 2020 #/% | Year to Date Total |
|--|-------------------------------|---|---|---|--------------------------|
| F. Decisions involving discrimination after a hearing broken down by basis | | | | | |
| 1. Race: | 0 | 0 | 0 | 0 | 0 |
| a. American Indian/Alaskan Native: | 0 | 0 | 0 | 0 | 0 |
| b. American Asian/Pacific Islander: | 0 | 0 | 0 | 0 | 0 |
| c. Black: | 0 | 0 | 0 | 0 | 0 |
| d. White: | 0 | 0 | 0 | 0 | 0 |
| 2. Color: | 0 | 0 | 0 | 0 | 0 |
| 3. Sex: | 1 | 0 | 0 | 0 | 1 |
| a. Male: | 1 | 0 | 0 | 0 | 1 |
| b. Female: | 0 | 0 | 0 | 0 | 0 |
| 4. Age: | 1 | 0 | 0 | 0 | 1 |
| 5. Religion: | 0 | 0 | 0 | 0 | 0 |
| 6. Reprisal: | 1 | 0 | 0 | 0 | 1 |
| 7. Pregnancy (PDA): | 0 | 0 | 0 | 0 | 0 |
| 8. National Origin: | 0 | 0 | 0 | 0 | 0 |
| a. Hispanic: | 0 | 0 | 0 | 0 | 0 |
| b. Other: | 0 | 0 | 0 | 0 | 0 |
| 9. Equal Pay Act: | 0 | 0 | 0 | 0 | 0 |
| a. Male: | 0 | 0 | 0 | 0 | 0 |
| b. Female: | 0 | 0 | 0 | 0 | 0 |
| 10. Disability: | 0 | 0 | 0 | 0 | 0 |
| 11. Genetics: | 0 | 0 | 0 | 0 | 0 |
| 12. Non-EEO Basis: | 0 | 0 | 0 | 0 | 0 |

| V. Final agency actions involving discrimination during the quarter broken down by hearing/no hearing and basis/issue. | 1st Quarter FY 2020 #/% | 2 nd Quarter FY 2020 #/% | 3 rd Quarter FY 2020 #/% | 4 th Quarter FY 2020 #/% | Year to Date Total |
|--|-------------------------------|---|---|---|--------------------------|
| G. Decisions involving discrimination after a hearing broken down by issue | | | | | |
| 1. Appointment/Hire: | 0 | 0 | 0 | 0 | 0 |
| 2. Assignment of Duties: | 0 | 0 | 0 | 0 | 0 |
| 3. Awards: | 0 | 0 | 0 | 0 | 0 |
| 4. Conversion to Full Time/Perm Status: | 0 | 0 | 0 | 0 | 0 |
| 5. Disciplinary Action: | 0 | 0 | 0 | 0 | 0 |
| a. Demotion: | 0 | 0 | 0 | 0 | 0 |
| b. Reprimand: | 0 | 0 | 0 | 0 | 0 |
| c. Suspension: | 0 | 0 | 0 | 0 | 0 |
| d. Removal: | 0 | 0 | 0 | 0 | 0 |
| e. Other: | 0 | 0 | 0 | 0 | 0 |
| 6. Duty Hours: | 0 | 0 | 0 | 0 | 0 |
| 7. Perf. Eval./ Appraisal: | 0 | 0 | 0 | 0 | 0 |
| 8. Examination/Test: | 0 | 0 | 0 | 0 | 0 |
| 9. Harassment: | 0 | 0 | 0 | 0 | 0 |
| a. Non-sexual: | 0 | 0 | 0 | 0 | 0 |
| b. Sexual: | 0 | 0 | 0 | 0 | 0 |
| 10. Medical Examination: | 0 | 0 | 0 | 0 | 0 |
| 11. Pay Including Overtime: | 0 | 0 | 0 | 0 | 0 |
| 12. Promotion/Non-Selection: | 1 | 0 | 0 | 0 | 1 |
| 13. Reassignment: | 0 | 0 | 0 | 0 | 0 |
| a. Denied: | 0 | 0 | 0 | 0 | 0 |
| b. Directed: | 0 | 0 | 0 | 0 | 0 |
| 14. Reasonable Accommodation Disability: | 0 | 0 | 0 | 0 | 0 |

| V. Final agency actions involving discrimination during the quarter broken down by hearing/no hearing and basis/issue. | 1st Quarter FY 2020 #/% | 2 nd Quarter FY 2020 #/% | 3 rd Quarter FY 2020 #/% | 4 th Quarter FY 2020 #/% | Year to Date Total |
|--|-------------------------------|---|---|---|--------------------------|
| 15. Religious Accommodation: | 0 | 0 | 0 | 0 | 0 |
| 16. Reinstatement: | 0 | 0 | 0 | 0 | 0 |
| 17. Sex-Stereotyping: | 0 | 0 | 0 | 0 | 0 |
| 18. Retirement: | 0 | 0 | 0 | 0 | 0 |
| 19. Telework: | 0 | 0 | 0 | 0 | 0 |
| 20. Termination: | 1 | 0 | 0 | 0 | 1 |
| 21. Terms/Conditions of Employment: | 0 | 0 | 0 | 0 | 0 |
| 22. Time and Attendance: | 0 | 0 | 0 | 0 | 0 |
| 23. Training: | 0 | 0 | 0 | 0 | 0 |
| 24. Other: | 0 | 0 | 0 | 0 | 0 |

| VI. Status of all pending complaints | 1 st Quarter FY 2020 | 2 nd Quarter FY 2020 | 3 rd Quarter FY 2020 | 4 th Quarter FY 2020 | Year to Date Total |
|--|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--------------------------|
| A. Number of complaints pending investigation: | 21 | 19 | 12 | 13 | 13 |
| B. Number of complaints pending hearing: | 43 | 33 | 37 | 35 | 35 |
| C. Number of complaints pending final agency action: | 24 | 22 | 14 | 14 | 14 |
| D. Number of complaints pending decision on appeal: | 3 | 0 | 3 | 7 | 7 |

| VII. Complaints not timely investigated | 1 st Quarter FY 2020 | 2 nd Quarter FY 2020 | 3 rd Quarter FY 2020 | 4 th Quarter FY 2020 | Year to Date Total |
|---|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--------------------------|
| A. Number of complaints not investigated within the time required by 29 CFR § 1614.106(e)(2): | 0 | 1 | 0 | 0 | 1 |